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12/21/01  
1036 U.S. PRO  
JC860 U.S. PROUTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

|                        |   |
|------------------------|---|
| Attorney Docket No     | 12058   |
| First Inventor         | EDWARD M. DEXHEIMER   |
| Title                  | PRODUCTION OF POLYETHEROLS USING ALUMINUM PHOSPHONATE CATALYSTS |
| Express Mail Label No. | EL 900586231 US   |

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

- Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- Applicant claims small entity status.  
See 37 CFR 1.27.
- Specification [Total Pages ( 27 )]  
(preferred arrangement set forth below)  
Descriptive title of the invention  
Cross reference to related applications  
Statement regarding Fed sponsored R & D  
Reference to sequence listing, a table, or a  
Computer program listing appendix  
Background of the Invention  
Brief Summary of the invention  
Brief Description of the Drawings  
Detailed description  
Claim(s)  
Abstract of the Disclosure
- Drawing(s) (35 USC 113) [Total Sheets ( 0 )]
- Oath or Declaration [Total Pages (3)]  
a.  Newly executed (original copy)  
b.  Copy from a prior application (37 CFR 1.63  
(d))  
(for continuation divisional with Box 18 completed)  
i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
Named in the prior application. see 37 CFR  
1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
- Nucleotide and or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - Computer Readable Form (CRF)
  - Specification Sequence Listing on:
    - CD-ROM or CD-R (2 copies); or
    - paper
  - Statements verifying identify of above copies

1036 U.S. PRO  
10/037958  
12/21/01

## ACCOMPANYING APPLICATION PARTS

- Assignment Papers (cover sheet & document(s))
- 37 CFR 3.73(b) Statement  Power of Attorney  
(when there is an assignee)
- English Translation Document (if applicable)
- Information Disclosure Statement  Copies of IDS  
(IDS)/PTO-1449 Citations
- Preliminary Amendment
- Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
- Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- Request and Certification under 35 USC 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
- Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data sheet under 37 CFR. 76:

Continuation  Divisional  Continuation-in-part (CIP) of prior application no.: \_\_\_\_\_ /  
Prior application information: Examiner \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

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|--|----------------------------------|-----------|--|--|--|
| <input type="checkbox"/> Customer Number or Bar Code Label | 28484<br>PATENT TRADEMARK OFFICE |           |  | or <input type="checkbox"/> Correspondence address below |  |
| Name   |                                  |           |  |  |  |
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| Country  |                                  | Telephone |  | Fax  |  |

|                   |   |                                   |          |
|-------------------|---|-----------------------------------|----------|
| Name (Print Type) | MARY K. CAMERON   | Registration No. (Attorney/Agent) | 34,789   |
| Signature         |  | Date                              | 12/18/01 |

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT

(\$ 1984.00)

| Complete if Known    |                    |
|----------------------|--------------------|
| Application Number   | N/A                |
| Filing Date          | Herewith           |
| First Named Inventor | EDWARD M DEXHEIMER |
| Examiner Name        | N/A                |
| Group / Art Unit     | N/A                |
| Attorney Docket No   | 12058              |

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account No

23-3425

Deposit Account Name

BASF CORPORATION

Charge Any Additional Fee Required  
 Under 37 CFR 1.16 and 1.17  
 Applicant claims small entity status  
 See 37 CFR 127

2.  Payment Enclosed: Check Money Order Other

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |          |
| 101          | 740      | 201          | 355      | Utility filing fee     | \$740.00 |
| 106          | 320      | 206          | 160      | Design filing fee      |          |
| 107          | 490      | 207          | 245      | Plant filing fee       |          |
| 108          | 710      | 208          | 355      | Reissue filing fee     |          |
| 114          | 150      | 214          | 75       | Provisional filing fee |          |
| SUBTOTAL (1) |          | (\$ 740.00)  |          |                        |          |

## 2. EXTRA CLAIM FEES

|                    |    | Extra Claims |    | Fee from below |      | Fee Paid |
|--------------------|----|--------------|----|----------------|------|----------|
| Total Claims       | 48 | -20**=       | 28 | X              | \$18 | = 504    |
| Indep. Claims      | 8  | -3**=        | 5  | X              | \$84 | = 420    |
| Multiple Dependent |    |              |    |                | 280  | = 280    |

\*\*or number previously paid, if greater. For Reissues, see below

| Large Entity |          | Small Entity |          | Fee Description  |
|--------------|----------|--------------|----------|--|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |
| 103          | 18       | 203          | 9        | Claims in excess of 20                                     |
| 102          | 84       | 202          | 42       | Independent claims in excess of 3                          |
| 104          | 280      | 204          | 140      | Multiple dependent claim, if not paid                      |
| 109          | 84       | 209          | 42       | ** Reissue independent claims over original patent         |
| 110          | 18       | 210          | 9        | ** Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) |          | (\$ 1204.00) |          |  |

## 3. ADDITIONAL FEES

| Large Entity                      | Small Entity | Fee Description         | Fee Paid |
|-----------------------------------|--------------|-------------------------|----------|
| Fee Code                          | Fee (\$)     | Fee Code                | Fee (\$) |
| 105                               | 130          | 205                     | 65       |
| 127                               | 50           | 227                     | 25       |
| 139                               | 130          | 139                     | 130      |
| 147                               | 2,520        | 147                     | 2,520    |
| 112                               | 920*         | 112                     | 920*     |
| 113                               | 1,840*       | 113                     | 1,840*   |
| 115                               | 110          | 215                     | 55       |
| 116                               | 400          | 216                     | 200      |
| 117                               | 920          | 217                     | 460      |
| 118                               | 1,440        | 218                     | 720      |
| 128                               | 1,960        | 228                     | 980      |
| 119                               | 320          | 219                     | 160      |
| 120                               | 320          | 220                     | 160      |
| 121                               | 280          | 221                     | 140      |
| 138                               | 1,510        | 138                     | 1,510    |
| 140                               | 110          | 240                     | 55       |
| 141                               | 1,280        | 241                     | 640      |
| 142                               | 1,240        | 242                     | 620      |
| 143                               | 460          | 243                     | 230      |
| 144                               | 620          | 244                     | 310      |
| 122                               | 130          | 122                     | 130      |
| 123                               | 50           | 123                     | 50       |
| 126                               | 180          | 126                     | 180      |
| 581                               | 40           | 581                     | 40       |
| 146                               | 740          | 246                     | 370      |
| 149                               | 740          | 249                     | 370      |
| Other fee (specify)               |              |                         |          |
| Other fee (specify)               |              |                         |          |
| *Reduced by Basic Filing Fee Paid |              | SUBTOTAL (3) (\$ 40.00) |          |

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40.00)

|                          |   |                                     |         |           |                |
|--------------------------|---|-------------------------------------|---------|-----------|----------------|
| Typed or<br>Printed Name | MARY K. CAMERON   | Registration No<br>(Attorney/Agent) | 34,789  | Telephone | (734) 324-6197 |
| Signature                |  | Date                                | 12/1/01 |           |                |